**Research Partnership Security Information Document**

**For International Partnerships**

UHN pursues partnerships leading to cooperation in the areas of education, research, knowledge transfer and related activities. Both the nature of the partnership and the need for formal agreements will vary. **The Government of Canada has published the** [Safeguarding Your Research](https://science.gc.ca/site/science/en/safeguarding-your-research) **portal to support institutions and researchers to understand and implement the principles of research partnership security.**

**The following internal information document will help guide a review of the research partnership by the UHN Research Partnership Security Working Group. This process is to help ensure that all research partnerships are entered into with awareness of potential risks.**

For the purposes of this information document, an *International Partner* is an entity (academic, corporate, government or not-for-profit) that is located outside of Canada and is providing a research-related service or is engaged in a research collaboration with UHN. Collaborations may include graduate training and/or entrepreneurial opportunities.

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| --- | --- |
| 1. UHN PI: |  |
| 1. Title of research project: |  |
| 1. Approval Number(s) (if applicable) (e.g. CAPCR, Data Cmt, QIRC, ACC, etc...) |  |
| 1. Project keywords (please provide 5): |  |
| 1. Name of proposed partner organisation: |  |
| 1. Is the partner a commercial or academic entity? |  |
| 1. How did you identify this partner? |  |
| 1. Have you previously engaged or collaborated with this partner? | **Yes:  No:** |
| 1. What was the nature of the previous relationship? |  |

1. **Please indicate all types of activities that may be included in this partnership/project:**

|  |  |
| --- | --- |
| **Faculty, graduate student, trainee, or staff exchanges\*** |  |
| **Development of joint doctoral placements or other exchanges\*** |  |
| Joint publication of scientific papers and educational materials |  |
| Joint seed funding programs between UHN & partner\* |  |
| Participation in and co-hosting of lectures, meetings, seminars, symposia and conferences (or similar) |  |
| Exchange of tangible research materials, including data, biological, chemical compounds, software, unpublished information or otherwise confidential or restricted materials |  |
| Commercially valuable Intellectual property developed during the partnership\* |  |
| Sharing of proprietary intellectual property that is unprotected (e.g., not published or patented yet)\* |  |
| Other (specify): |  |
| \* Requires or may require a separate agreement |  |

1. **What data / materials / devices / technology will be transferred as part of this partnership?**

|  |
| --- |
|  |

1. **Do data / materials / devices / technology being transferred fall under** [Canada’s Export Controls](https://www.international.gc.ca/controls-controles/about-a_propos/expor/before-avant.aspx?lang=eng) **or** [Canada’s Controlled Goods](https://www.tpsgc-pwgsc.gc.ca/pmc-cgp/index-eng.html) **(i.e. components and technical data that have military or national security significance as defined in the** [Defence Production Act](https://laws-lois.justice.gc.ca/eng/acts/d-1/)**)**

Yes:  No:

1. **How will this partnership contribute to the proposed project? Why is this partnership important to the project?**

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1. What are the potential risks of this partnership as related to the specific project (e.g., geo-political, conflicts of interest, unprotected IP etc.), and how are they being mitigated?

*\*\*****Note*** *UHN is looking to develop resources to support for researchers completing this form. Please let us know any areas where additional information and/or guidance would help you to complete this form.*

|  |  |  |
| --- | --- | --- |
| **Potential Risk (check all that apply)** | | **Mitigation Strategy (please describe)** |
| **Intellectual Property theft (protected or otherwise)** |  |  |
| **Geo-political tensions with the partner’s country, unrest within the country, partner’s country is not a democratic nation and/or does not follow the rule of law** |  |  |
| **Safety of team members in cases of travel** |  |  |
| **Potential complications with student thesis/research (e.g., unable to publish, limited freedom of expression, etc.)** |  |  |
| **Potential issues related to publication of results** |  |  |
| **Partnership contravenes rules of other grants and/or contracts held** |  |  |
| **Partner country has national security issues, as identified by the Federal Government, related to research topics, such as “Dual use” of research outcomes** |  |  |
| You are working with sensitive personal data or large amounts of data that could be sensitive in the aggregate (see question 14) |  |  |
| **Other (specify):** |  |  |

1. If you indicated you will be working with sensitive personal data or large amounts of data that could be sensitive in the aggregate:

|  |  |
| --- | --- |
| 1. Will you be transferring the data? | Yes:  No: |
| * 1. If yes, where will you be transferring the data (geographic location) |  |
| 1. What kind of data will you be working with? | Directly identifiable information (e.g. dob, mrn, email address)  Coded data (identifiable information with random numbers)  Whole genome sequences |
| 1. How is sharing the data valuable for the project? |  |
| 1. How will sharing the data benefit Canadian patients? |  |

1. The Federal and Provincial governments have released their own **mandatory** checklists that will have to be submitted with some grant applications (e.g., NSERC Alliance, Ontario Research Fund) and it is expected more government agencies will follow. Please indicate:

Iam submitting a grant application that requires the completion of the CSIS – Safeguarding your Research Checklist (<https://science.gc.ca/eic/site/063.nsf/eng/h_98257.html>), and/or any other mandatory processes:

Yes:  No:

If yes, specify granting program: